

Section 8

BOX  
MISSING  
PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: D. Slawson et al. Attorney Docket No: MSFT112767  
Serial No: 09/224,009 Group Art Unit: 2772  
Filed: December 31, 1998 Examiner: not yet assigned  
Title: VISUAL THESAURUS

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT

Seattle, Washington 98101  
February 16, 1999

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

A. Transmitted Herewith are the Following:

- X 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.
- X 2. An Assignment of the invention to Microsoft Corporation is attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of Form PTO-1533 (Notice to File Missing Parts).

**COMPUTATION OF FEE**

	Number Filed		Number Extra		Rate		Basic Fee \$760
Total Claims	63 - 20	=	43	x	\$18	=	774.00
Independent Claims	4 - 3	=	1	x	\$78	=	78
Multiple Dependent Claims	---		---		\$260		260
Total Filing Fee			\$ 1872.00				
Surcharge Fee (\$130)			\$ 130.00				
TOTAL			\$ 2002.00				

1 Check No. 103287 in the amount of \$2002.00 to cover the total fee as computed  
2 above is enclosed.

3 B. Additional Fee Charges or Credit for Overpayment:

4 The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and  
5 1.18 which may be required during the entire pendency of the application, or credit any  
6 overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for  
7 any extensions of time of the appropriate length required upon the filing of any reply during the  
8 entire prosecution of this application. A copy of this sheet is enclosed.

9 Respectfully submitted,

10 CHRISTENSEN O'CONNOR  
11 JOHNSON & KINDNESS<sup>PLLC</sup>

12 *Marlene Klein*

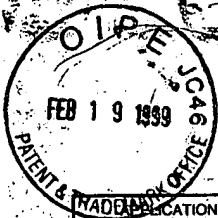
13 Marlene Klein  
14 Registration No. P-43,718  
15 Direct Dial (206) 695-1729

16 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as  
17 first class mail with postage thereon fully prepaid addressed to: Assistant Commissioner for Patents, Washington, D.C.  
18 20231, on 2/16/99

19 Date: 2/16/99

20 MK:jl

*Gabernathy*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/224,009	12/31/98	SLAWSON	D MSFT112767

CHRISTENSEN, O'CONNOR,  
JOHNSON AND KINDNESS  
SUITE 2800  
1420 FIFTH AVENUE  
SEATTLE WA 98101

NOT ASSIGNED

2772

DATE MAILED:

01/21/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 2,002.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1,112.00, including any multiple dependent claim fees, are required.

\$ 78.00 for 1 independent claims over 3.

\$ 774.00 for 43 dependent claims over 20.

\$ 260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(b)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to: Attention: Box Missing Parts.

**A copy of this notice MUST be returned with the reply.**

S. Ahmed

Customer Service Center

Initial Patent Examination Division (703) 308-1202

BEST AVAILABLE COPY

00000012 09224009  
24/1999 SLAWSON  
FC:101  
FC:102  
FC:103  
FC:104  
FC:105